

VALUES AND BOUNDS OF THE STANLEY DEPTH

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ABSTRACT. We give different bounds for the Stanley depth of a monomial ideal I of a polynomial algebra S over a field K . For example we show that the Stanley depth of I is less or equal with the Stanley depth of any prime ideal associated to S/I . Also we show that the Stanley conjecture holds for I and S/I when the associated prime ideals of S/I are generated by disjoint sets of variables.

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INTRODUCTION

Let K be a field, $S = K[x_1, \dots, x_n]$ be the polynomial ring in n variables with coefficients in K and M be a finitely generated \mathbb{Z}^n -graded S -module. Let $u \in M$ be a homogeneous element in M and Z a subset of the set of variables $Z \subset \{x_1, \dots, x_n\}$. We denote by $uK[Z]$ the K -subspace of M generated by all elements uv where v is a monomial in $K[Z]$. If $uK[Z]$ is a free $K[Z]$ -module, the \mathbb{Z}^n -graded K -space $uK[Z] \subset M$ is called a Stanley space of dimension $|Z|$. A Stanley decomposition of M is a presentation of the \mathbb{Z}^n -graded K -vector space M as a finite direct sum of Stanley spaces

$$\mathcal{D} : M = \bigoplus_{i=1}^s u_i K[Z_i].$$

The number

$$\text{sdepth } \mathcal{D} = \min\{|Z_i| : i = 1, \dots, s\}$$

is called the Stanley depth of decomposition \mathcal{D} and the number

$$\text{sdepth } M := \max\{\text{sdepth } \mathcal{D} : \mathcal{D} \text{ is a Stanley decomposition of } M\}$$

is called the Stanley depth of M . This is a combinatorial invariant and does not depend on the characteristic of K . The following widely open conjecture is due to Stanley [16]:

$$\text{depth } M \leq \text{sdepth } M \text{ for all } \mathbb{Z}^n\text{-graded } S\text{-modules } M.$$

Let P be an associated prime ideal of S/I . It is well known that $\text{depth}_S S/I \leq \text{depth}_S S/P = \dim S/P$ and so $\text{depth}_S I \leq \text{depth}_S P$. By Apel [1] we have also $\text{sdepth}_S S/I \leq \dim S/P$. Moreover our Theorem 1.1 says that it holds also $\text{sdepth}_S I \leq \text{sdepth}_S P$. Let $G(I)$ be the minimal monomial generators of I and $r = |G(I)|$. If

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there exists an associated prime ideal P of S/I such that $\text{ht } P = r$ then $\text{sdepth}_S I = n - \lfloor \frac{r}{2} \rfloor$ as says our Corollaries 1.3, 1.4. Let $\text{Ass } S/I = \{P_1, \dots, P_s\}$. If $P_i \not\subset \sum_{j \neq i}^s P_j$ for all $1 \leq i \leq s$ and I is squarefree then $\text{sdepth}_S(I) \geq \text{depth}_S(I)$ by [12, Theorem 2.3]. Suppose that $G(P_i) \cap G(P_j) = \emptyset$ for all $i \neq j$. In particular the above result holds in this frame as it was stated by [10, Theorem 1.4]. Our Corollary 1.12 shows that the last result holds even when I is not squarefree. Moreover, we have $\text{sdepth}_S(S/I) \geq \text{depth}_S(S/I)$ by our Theorem 2.1. Hence The Stanley conjecture holds in this frame for I and S/I .

It is hard to compute Stanley depth even using the nice method from [6] and so it is really important to give at least tight bounds (see for example [4]). If $s = 3$, $r = \text{ht } P_1 \leq e = \text{ht } P_2 \leq q = \text{ht } P_3$ then an upper bound for $\text{sdepth}_S S/I$ is given by $e + \lceil \frac{q}{2} \rceil$ even $r + \lfloor \frac{q}{2} \rfloor$ except possible in the case when r is even and $e = r + 1$ (see Corollary 2.3 and Proposition 2.4). On the other hand, $\text{sdepth}_S S/I \geq \min\{r+e, r+\lfloor \frac{q}{2} \rfloor, \lfloor \frac{e}{2} \rfloor + \lfloor \frac{q}{2} \rfloor\}$ as says our Lemma 2.6. Section 3 is devoted to find good upper bounds for the $\text{sdepth}_S I$ when $s = 3$ but $(G(P_i))_i$ are not necessarily disjoint. These bounds are not pleasant (see Proposition 3.6, Theorem 3.7, Proposition 3.9) but very tight in certain cases (see our examples 3.5, 3.8, 3.10, 3.12). Sometimes we are able to give some values of Stanley depth as in Corollaries 1.3, 1.4, 2.7.

1. UPPER BOUNDS OF THE STANLEY DEPTH OF MONOMIAL IDEALS

One of our main result is the following:

Theorem 1.1. *Let $I \subset S$ be a monomial ideal such that $\text{Ass}(S/I) = \{P_1, \dots, P_s\}$. Then*

$$\text{sdepth}(I) \leq \min\{\text{sdepth}(P_i), 1 \leq i \leq s\}.$$

Proof. Let $P_i \in \text{Ass}(S/I)$ then P_i is monomial and there exists a monomial $w_i \notin I$ such that $I : w_i = P_i$. By [11, Proposition 1.3] (see arXiv version) we have $\text{sdepth}(I) \leq \text{sdepth}(I : w_i) = \text{sdepth}(P_i)$. Thus we get

$$\text{sdepth}(I) \leq \min\{\text{sdepth}(P_i), 1 \leq i \leq s\}.$$

□

Corollary 1.2. *Let $I \subset S$ be a monomial ideal such that $\mathfrak{m} \in \text{Ass}(S/I)$, then $\text{sdepth}(I) \leq \lceil \frac{n}{2} \rceil$.*

Corollary 1.3. *Let $I \subset S$ be a monomial ideal with $|G(I)| = m$ suppose that m is even, and let there exists a prime ideal $P \in \text{Ass}(S/I)$ such that $\text{ht}(P) = m$. Then*

$$\text{sdepth}_S(I) = n - \frac{m}{2}.$$

Proof. By [9, Theorem 2.3] we have $\text{sdepth}(I) \geq n - \frac{m}{2}$. Since there exists a prime ideal $P \in \text{Ass}(S/I)$, with $\text{ht}(P) = m$, thus by Theorem 1.1 we have that $\text{sdepth}(I) \leq n - \frac{m}{2}$. □

Corollary 1.4. *Let $I \subset S$ be a monomial ideal with $|G(I)| = m$ suppose that m is odd, and let there exists a prime ideal $P \in \text{Ass}(S/I)$ such that $\text{ht}(P) \geq m - 1$. Then*

$$\text{sdepth}_S(I) = n - \lfloor \frac{m}{2} \rfloor.$$

Corollary 1.5. *Let $I \subset S$ be a monomial ideal and let $P_i \in \text{Ass}(S/I) = \{P_1, \dots, P_s\}$, $d_i = \text{ht}(P_i)$, let $I' := (I, x_{n+1}, x_{n+2}) \subset S' := S[x_{n+1}, x_{n+2}]$, Then*

$$\text{sdepth}_{S'}(I') \leq \min\{n + 1 - \lfloor \frac{d_i}{2} \rfloor, 1 \leq i \leq s\}.$$

Proof. We have $\text{Ass}_{S'}(S'/I') = \{(P_1, x_{n+1}, x_{n+2}), \dots, (P_s, x_{n+1}, x_{n+2})\}$. Using Theorem 1.1 we get that $\text{sdepth}_{S'}(I') \leq \min\{\text{sdepth}(P_i, x_{n+1}, x_{n+2}), 1 \leq i \leq s\}$ and it enough to apply [2, Theorem 1.3]. \square

Remark 1.6. By [7, Lemma 2.11] we have $\text{sdepth}_{S'}(I') \leq \text{sdepth}_S(I) + 2$ but our above corollary says that the bound of $\text{sdepth}_{S'}(I')$ given by Theorem 1.1 is 1+ the bound of $\text{sdepth}_S(I)$.

Next we need the following:

Lemma 1.7. [10, Lemma 1.2] *Let $S = K[x_1, \dots, x_n]$ and $I \subset K[x_1, \dots, x_r] = S'$, $J \subset K[x_{r+1}, \dots, x_n] = S''$, where $1 < r < n$ be monomial ideals. Then*

$$\text{sdepth}_S(IS \cap JS) \geq \text{sdepth}_{S'}(I) + \text{sdepth}_{S''}(J).$$

Corollary 1.8. *Let $I_i \subset S_i = K[Z_i]$, $i = 1, \dots, r$ be monomial ideals where $Z_i \subset \{x_1, \dots, x_n\}$ and $Z_i \cap Z_j = \emptyset$ for all $i \neq j$ and $m_i = |G(I_i)|$. Let $S = K[Z_1 \cup Z_2 \cup \dots \cup Z_r]$ and $\sum_{i=1}^r |Z_i| = n$. Then*

$$\text{sdepth}_S(I_1 S \cap I_2 S \cap \dots \cap I_r S) \geq \text{sdepth}_{S_1}(I_1) + \dots + \text{sdepth}_{S_r}(I_r) \geq n - \sum_{i=1}^r \lfloor \frac{m_i}{2} \rfloor.$$

Remark 1.9. With the hypothesis from Corollary 1.8, if the Stanley conjecture holds for all $I_i \subset S_i$, then the Stanley conjecture also holds for $I_1 S \cap I_2 S \cap \dots \cap I_r S$ similarly as in [10, Theorem 1.4].

Example 1.10. Let $I = (x_1 x_3, x_1 x_4, x_1 x_5, x_1 x_6, x_2) \cap (x_7, \dots, x_{11})$ and $S = K[x_1, \dots, x_{11}]$. We know by [7, Example 2.20] that

$$\text{sdepth}((x_1 x_3, x_1 x_4, x_1 x_5, x_1 x_6, x_2)K[x_1, \dots, x_6]) = 4$$

and $\text{sdepth}((x_7, \dots, x_{11})K[x_7, \dots, x_{11}]) = 3$. Then by Corollary 1.8, $\text{sdepth}(I) \geq 7$.

Proposition 1.11. *Let $I \subset S$ be a monomial ideal and let $\text{Min}(S/I) = \{P_1, \dots, P_s\}$. Assume that $P_i \not\subseteq \sum_{i=1}^{s-1} P_i$ for all $i \in [s]$. Then $\text{depth}(I) \leq s$ and $\text{depth}(S/I) \leq s - 1$.*

Proof. We have $\sqrt{I} = \bigcap_{i=1}^s P_i$. By [12, Theorem 2.3] and [5, Theorem 2.6] we get $\text{depth}(I) \leq \text{depth}(\sqrt{I}) = s$ and consequently $\text{depth}(S/I) \leq s - 1$. \square

The following corollary extends [10, Theorem 1.4].

Corollary 1.12. *Let $I \subset S$ be a monomial ideal, $\text{Ass}(S/I) = \{P_1, \dots, P_m\}$ and suppose that $G(P_i) \cap G(P_j) = \emptyset$ for $i \neq j$. Then $\text{sdepth}(I) \geq m$. In particular Stanley's conjecture holds for I .*

Proof. The proof follows from Proposition 1.11 and Corollary 1.8. \square

2. STANLEY DEPTH OF MULTIGRADED CYCLIC MODULES

The Corollary 1.12 has an analogous form for S/I .

Theorem 2.1. *Let $I \subset S$ be a monomial ideal such that $I = \bigcap_{i=1}^m Q_i$ is a reduced primary decomposition of I and $P_i = \sqrt{Q_i}$ with $G(P_i) \cap G(P_j) = \emptyset$ for $i \neq j$. Then $\text{sdepth}(S/I) \geq m - 1$. In particular Stanley's conjecture holds for S/I .*

Proof. Let $\sum_{i=1}^{m-1} P_i = (x_1, \dots, x_r)$, $S' = K[x_1, \dots, x_r]$. First we use induction on m . Case $m = 1$ is clear. Fix $m > 1$ and apply induction on $n - r$. Let k be the minimum such that $x_n^k \in Q_m$. Define I_i by $I \cap x_n^i S_1 = x_n^i I_i$ for some ideal $I_i \subset S_1 = K[x_1, \dots, x_{n-1}]$. Then

$$S/I = S_1/I_0 \oplus x_1(S_1/I_1) \oplus \dots \oplus x_n^k(S_1/I_k)[x_n].$$

Let $I' = Q_1 \cap Q_2 \cap \dots \cap Q_{m-1}$. If $n - r = 1$, then Q_m is an (x_n) -primary ideal and so it is given by a power of x_n , that is (x_n^t) . By [3, Theorem 1.1] and by induction on m we have $\text{sdepth}_S S/(I' \cap x_n^t) = \text{sdepth}_S S/(x_n^t I') = \text{sdepth}_S S/I' = \text{sdepth}(S'/I') + 1 \geq m - 2 + 1 = m - 1$. Let $n - r > 1$, by induction we have $\text{sdepth}_{S_1}(S_1/I_i) \geq m - 1$ for all $i < k$ and by [6, Lemma 3.6] we have $\text{sdepth}_{S_1}(S_1/I_k) \geq m - 2 + n - r - 1 \geq m - 2$ since $r < n$. Then

$$\text{sdepth}_S(S/I) \geq \min \left\{ \{ \text{sdepth}_{S_1}(S_1/I_i) \}_{i=0,1,\dots,k-1}, 1 + \text{sdepth}_{S_1}(S_1/I_k) \right\}.$$

If the minimum is reached on $1 + \text{sdepth}_{S_1}(S_1/I_k)$ then we are done because we get $\text{sdepth}_S(S/I) \geq m - 1$. If the minimum is reached on $\text{sdepth}_{S_1}(S_1/I_i)$ for some $0 \leq i < k$ then $\text{sdepth}(S/I) \geq m - 1$ again. Now by Proposition 1.11 we have $\text{depth}(S/I) \leq m - 1$ this implies that $\text{sdepth}(S/I) \geq \text{depth}(S/I)$. \square

Next we give we give upper and lower bounds for the Stanley depth of S/I with $I = P_1 \cap P_2 \cap P_3$ the unique irredundent presentation of I as the intersection of its minimal monomial prime ideals. By [6, Lemma 3.6] it is enough to consider that $P_1 + P_2 + P_3 = \mathfrak{m}$. Let $\mathcal{D} : S/I = \bigoplus_{i=1}^m u_i K[Z_i]$ be a Stanley decomposition. Then Z_i cannot have in the same time variables from all $G(P_i)$, otherwise $u_i K[Z_i]$ will not be a free $K[Z_i]$ -module.

Lemma 2.2. *Let $\mathcal{D} : S/I = \bigoplus_{i=1}^m u_i K[Z_i]$ be a Stanley decomposition of S/I . Suppose that $u_1 = 1$ and $Z_1 \subset (G(P_1) \cup G(P_2)) \setminus G(P_3)$. Then*

$$\text{sdepth}(\mathcal{D}) \leq \max \left\{ \dim(S/(P_2 + P_3)), \dim(S/(P_1 + P_3)) \right\} + \lceil \frac{\text{ht}(P_3) - t}{2} \rceil,$$

where $t = |G(P_1) \cap G(P_2) \cap G(P_3)|$.

Proof. Let $Z := G(P_3) \setminus (G(P_1) \cap G(P_2))$ and

$$\psi : P_3 \cap K[Z] \hookrightarrow S/I$$

be the inclusion given by

$$K[Z] \hookrightarrow S/I.$$

Then $P_3 \cap K[Z] = \bigoplus_i \psi^{-1}(u_i K[Z_i])$. If $\psi^{-1}(u_i K[Z_i]) \neq 0$ implies there exists $u_i f \in u_i K[Z_i]$ with $u_i f \in P_3 \cap K[Z]$. Since all the variables of Z_1 are in $P_1 + P_2$ then $u_i K[Z_i] \cap P_3 \cap K[Z] \neq 0$ implies $u_i \neq 1$ and so $u_i \in P_3 \cap K[Z]$ because P_3 gives maximal ideal in $K[Z]$. Let $Z'_i = Z_i \cap Z$. Then $\psi^{-1}(u_i K[Z_i]) = u_i K[Z'_i]$ and we get a Stanley decomposition of $P'_3 = P_3 \cap K[Z]$ by $P'_3 = \bigoplus_{u_i \in P'_3} u_i K[Z'_i]$. It

follows $|Z'_i| \leq \text{sdepth}(P'_3) = \lceil \frac{|Z|}{2} \rceil$. But either $Z_i \subset (G(P_3) \cup G(P_1)) \setminus G(P_2)$ or $Z_i \subset (G(P_3) \cup G(P_2)) \setminus G(P_1)$. In the first case we have $Z_i \subset (G(P_3) \setminus G(P_2)) \cup (G(P_1) \setminus (G(P_2) \cup G(P_3)))$ and we get $Z_i \subset Z'_i \cup (G(P_1) \setminus (G(P_2) \cup G(P_3)))$. It follows $|Z_i| \leq \dim(S/(P_2 + P_3)) + \lceil \frac{|Z|}{2} \rceil$. Similarly for the case $Z_i \subset (G(P_3) \cup G(P_2)) \setminus G(P_1)$ we get $|Z_i| \leq \dim(S/(P_1 + P_3)) + \lceil \frac{|Z|}{2} \rceil$. Thus we have

$$\text{sdepth}(\mathcal{D}) \leq \max \left\{ \dim(S/(P_2 + P_3)), \dim(S/(P_1 + P_3)) \right\} + \lceil \frac{|Z|}{2} \rceil.$$

As $|Z| = \text{ht}(P_3) - t$ we are done. \square

Corollary 2.3. *Let $r \leq e \leq q$ be some positive integers with $r + e + q = n$. $P_1 = (x_1, \dots, x_r)$, $P_2 = (x_{r+1}, \dots, x_{r+e})$, $P_3 = (x_{r+e+1}, \dots, x_{r+e+q})$ prime ideals of $S = K[x_1, \dots, x_n]$ and $I = P_1 \cap P_2 \cap P_3$. Then*

$$\text{sdepth}(S/I) \leq e + \lceil \frac{q}{2} \rceil.$$

This bound can be improved by the following proposition:

Proposition 2.4. *Let $r \leq e \leq q$ be some positive integers with $r + e + q = n$, $P_1 = (x_1, \dots, x_r)$, $P_2 = (x_{r+1}, \dots, x_{r+e})$, $P_3 = (x_{r+e+1}, \dots, x_{r+e+q})$ prime ideals of $S = K[x_1, \dots, x_n]$ and $I = P_1 \cap P_2 \cap P_3$. Then*

$$\text{sdepth}(S/I) \leq r + \min\{e, \lceil \frac{q}{2} \rceil\},$$

except in the case when $e = r + 1$ and r is odd.

Proof. Let $r = 1$, then by [3, Theorem 1.1(1)] we have $\text{sdepth}(S/I) = \text{sdepth}(S/(I : x_1)) = 1 + \text{sdepth}_{S'} S'/(P_2 \cap P_3) = 1 + \min\{e, \lceil \frac{q}{2} \rceil\}$ so the inequality holds in this case. Now let $P_1 = (P'_1, x_2, \dots, x_r)$ where $P'_1 = (x_1)$ and $I' = P'_1 \cap P_2 \cap P_3$ i.e $I'S = I \cap (P'_1 S)$. Let us consider the following exact sequence:

$$0 \longrightarrow I/I'S \longrightarrow S/I'S \longrightarrow S/I \longrightarrow 0,$$

by [14, Lemma 2.2] we have

$$\text{sdepth}(S/I'S) \geq \min\{\text{sdepth}(I/I'S), \text{sdepth}(S/I)\}.$$

Since $I/I'S \simeq I/(I \cap P'_1)S \simeq (I + P'_1)/P'_1 \simeq I \cap K[x_2, \dots, x_n] = (x_2, \dots, x_n) \cap P_2 \cap P_3$ by using Corollary 1.8 we have $\text{sdepth}(I/I'S) \geq \lceil \frac{r-1}{2} \rceil + \lceil \frac{e}{2} \rceil + \lceil \frac{q}{2} \rceil$. Now since $\text{sdepth}(S/I'S) \leq 1 + r - 1 + \min\{e, \lceil \frac{q}{2} \rceil\} = r + \min\{e, \lceil \frac{q}{2} \rceil\}$. Now we see that $\lceil \frac{r-1}{2} \rceil + \lceil \frac{e}{2} \rceil + \lceil \frac{q}{2} \rceil > r + \min\{e, \lceil \frac{q}{2} \rceil\}$ for all cases except $r = e$, $e = r + 1$ and r is odd. Thus with these exceptions we get $\text{sdepth}(S/I'S) \geq \text{sdepth}(S/I)$. It follows $\text{sdepth}(S/I) \leq r + \min\{e, \lceil \frac{q}{2} \rceil\}$ except in the cases when $r = e$, and $e = r + 1$ and r is odd. But when $r = e$ we can apply Corollary 2.3. \square

Remark 2.5. Let $I \subset S$ be a monomial ideal and $\text{Min}(I) = \{P_1, P_2, P_3\}$, such that $G(P_i) \cap G(P_j) = \emptyset$ for all $i \neq j$. Then by [7, Corollary 2.2] $\text{sdepth}(S/I) \leq \text{sdepth}(S/(P_1 \cap P_2 \cap P_3))$ and the upper bounds in Corollary 2.3 and Proposition 2.4 (with exceptions stated in the proposition) are also upper bounds for the Stanley depth of S/I .

Lemma 2.6. Let $1 \leq r \leq e \leq q$ be some positive integers with $r + e + q = n$, $P_1 = (x_1, \dots, x_r)$, $P_2 = (x_{r+1}, \dots, x_{r+e})$, $P_3 = (x_{r+e+1}, \dots, x_{r+e+q})$ primes ideals of $S = K[x_1, \dots, x_n]$ and $I = P_1 \cap P_2 \cap P_3$. Then

$$\text{sdepth}(S/I) \geq \min\{r + e, r + \lceil \frac{q}{2} \rceil, \lceil \frac{e}{2} \rceil + \lceil \frac{q}{2} \rceil\}.$$

Proof. S/I can be written as the direct sum of some multigraded modules:

$$S/I = S/P_3 \bigoplus P_3/(P_3 \cap P_2) \bigoplus (P_3 \cap P_2)/I,$$

and we have

$$\text{sdepth}(S/I) \geq \min\{\text{sdepth}(S/P_3), \text{sdepth}(P_3/(P_3 \cap P_2)), \text{sdepth}((P_3 \cap P_2)/I)\}$$

where $\text{sdepth} S/P_3 = \text{sdepth} K[x_1, \dots, x_{r+e}] = r + e$. Now since $P_3/(P_3 \cap P_2) \simeq (P_3 + P_2)/P_2 \simeq P_3 \cap K[x_1, \dots, x_r, x_{r+e+1}, \dots, x_n]$ we get $\text{sdepth}(P_3/(P_3 \cap P_2)) = r + \lceil \frac{q}{2} \rceil$. Also since $(P_3 \cap P_2)/I \simeq ((P_3 \cap P_2) + P_1)/P_1 \simeq (P_3 \cap P_2) \cap K[x_{r+1}, \dots, x_n]$ we have using [13, Lemma 4.1] $\text{sdepth}((P_3 \cap P_2)/I) \geq \lceil \frac{e}{2} \rceil + \lceil \frac{q}{2} \rceil$, which is enough. \square

Corollary 2.7. With the hypothesis from the above lemma, suppose that $r \leq \lceil \frac{e}{2} \rceil$. Then $\text{sdepth}(S/I) = r + \min\{e, \lceil \frac{q}{2} \rceil\}$.

3. UPPER BOUNDS FOR INTERSECTION OF THREE PRIME IDEALS

Our Theorem 1.1 gives an upper bound of the Stanley depth of any monomial ideal. But this bound is not so tight in general. In this section we give an upper bound for the Stanley depth of ideals whose minimal associated primes set consists of three prime ideals. These bounds are tighter than the bound given by Theorem 1.1. By [7, Corollary 2.2] it is enough to find an upper bound for the Stanley depth of intersection of three minimal prime ideals.

Let $I = P_1 \cap P_2 \cap P_3$ where P_1, P_2 and P_3 are monomial prime ideals of S . Suppose that $P_i \not\subset P_j$ for all $i \neq j$. By [6, Lemma 3.6] it is enough to consider that $P_1 + P_2 + P_3 = \mathfrak{m}$. After renumbering the variables we can always assume

that $P_1 = (x_1, \dots, x_t)$, $P_2 = (x_{s+1}, \dots, x_r)$ and $P_3 = (x_{q+1}, \dots, x_n, x_1, \dots, x_u)$, with $s \leq t$, $q \leq r$, $t > u \geq 0$. We consider the following cases:

- (1) $u > 0$, $t < q$.
- (2) $u = 0$, $s = t$, $q = r$.
- (3) $u = 0$, $s \leq t$, $q \leq r$, $s < r$.

The importance of considering these cases is that for the ideals discussed for instance in Case(2)(a particular form of Case(3)) there exists a reasonable upper bound (Theorem 3.7) which is clear from Corollary 1.8, and examples.

We start with a lemma very useful later in this section.

Lemma 3.1. *Let $I' \subset S' = S[x_{n+1}]$ be a monomial ideal, x_{n+1} being a new variable. If $I' \cap S \neq (0)$, then $\text{sdepth}_S(I' \cap S) \geq \text{sdepth}_{S[x_{n+1}]} I' - 1$.*

Proof. Let $\mathcal{D} : I' = \bigoplus_{i=1}^r u_i K[Z_i]$ be a Stanley decomposition of I' such that $\text{sdepth}(I') = \text{sdepth} \mathcal{D}$. We claim that

$$I' \cap S = \bigoplus_{x_{n+1} \notin \text{supp}(u_i)} u_i K[Z_i \setminus \{x_{n+1}\}], \text{ which is enough.}$$

Let $w \in I' \cap S$ be a monomial. Then there exists i such that $w \in u_i K[Z_i]$ and so $x_{n+1} \nmid u_i$, $w \in u_i K[Z_i \setminus \{x_{n+1}\}]$. Thus " \subset " holds, the other inclusion being trivial. As $u_i K[Z_i \setminus \{x_{n+1}\}] \cap u_j K[Z_j \setminus \{x_{n+1}\}] \subset u_i K[Z_i] \cap u_j K[Z_j] = \emptyset$ for $i \neq j$, we are done. \square

A part of the inequality in [7, Lemma 2.11] follows from the above lemma.

Corollary 3.2. *Let $I \subset S$ be a monomial ideal of S and $I' = (I, x_{n+1}) \subset S' = S[x_{n+1}]$. Then $\text{sdepth}_{S'}(I') \leq \text{sdepth}_S(I) + 1$.*

Corollary 3.3. *Let $I' = P_1 \cap P_2 \cap P_3 \subset S' = S[x_{n+1}]$ where P_i 's are prime monomial ideals with $P_1 = (x_1, \dots, x_t)$, $P_2 = (x_{u+1}, \dots, x_n)$ and $P_3 = (x_{t+1}, \dots, x_n, x_{n+1}, x_1, \dots, x_u)$. Then*

$$\text{sdepth}_{S'}(I') \leq \text{sdepth}(I) + 1,$$

where $I = I' \cap S = (x_1, \dots, x_t) \cap (x_{u+1}, \dots, x_n) \cap (x_{t+1}, \dots, x_n, x_1, \dots, x_u)$.

We recall the method of Herzog et al. [6] for computing the Stanley depth of a squarefree monomial ideal I using posets. Let $G(I) = \{v_1, \dots, v_m\}$ be the set of minimal monomial generators of I . The characteristic poset of I with respect to $h = (1, \dots, 1)$ (see [6]), denoted by \mathcal{P}_I^h is in fact the set

$$\mathcal{P}_I^h = \{C \subset [n] \mid C \text{ contains } \text{supp}(v_i) \text{ for some } i\},$$

where $\text{supp}(v_i) = \{j : x_j | v_i\} \subseteq [n] := \{1, \dots, n\}$. For every $A, B \in \mathcal{P}_I^h$ with $A \subseteq B$, define the interval $[A, B]$ to be $\{C \in \mathcal{P}_I^h : A \subseteq B \subseteq C\}$. Let $\mathcal{P} : \mathcal{P}_I^h = \bigcup_{i=1}^r [C_i, D_i]$ be a partition of \mathcal{P}_I^h , and for each i , let $c(i) \in \{0, 1\}^n$ be the n -uple such that $\text{supp}(x^{c(i)}) = C_i$. Then there is a Stanley decomposition $\mathcal{D}(\mathcal{P})$ of I

$$\mathcal{D}(\mathcal{P}) : I = \bigoplus_{i=1}^s x^{c(i)} K[\{x_k | k \in D_i\}].$$

By [6] we get that

$$\text{sdepth}(I) = \max\{\text{sdepth } \mathcal{D}(P) \mid \mathcal{P} \text{ is a partition of } \mathcal{P}_I^h\}.$$

Now we consider a special type of ideals which belongs to the case (1). Let $P_1 = (x_1, \dots, x_t)$, $P_2 = (x_{u+1}, \dots, x_n)$ and $P_3 = (x_{t+1}, \dots, x_n, x_1, \dots, x_u)$ be prime ideals of S , where $0 < u < t$ and $I = P_1 \cap P_2 \cap P_3$. Then

Lemma 3.4.

$$\text{sdepth}(I) \leq 2 + \frac{\binom{n}{3} - \binom{u}{3} - \binom{t-u}{3} - \binom{n-t}{3}}{\binom{n}{2} - \binom{u}{2} - \binom{t-u}{2} - \binom{n-t}{2}},$$

where $\binom{a}{b} = 0$ when $a < b$.

Proof. We follow the proof of [7, Theorem 2.8] (see also [8]). Note that I is generated by monomials of degree 2. Let $k := \text{sdepth}(I)$. The poset P_I has a partition $\mathcal{P} : P_I = \bigcup_{i=1}^s [C_i, D_i]$, satisfying $\text{sdepth}(\mathcal{D}(\mathcal{P})) = k$ where $\mathcal{D}(\mathcal{P})$ is the Stanley decomposition of I with respect to the partition \mathcal{P} . For each interval $[C_i, D_i]$ in \mathcal{P} with $|C_i| = 2$ we have $|D_i| \geq k$. Also there are $|D_i| - |C_i|$ subsets of cardinality 3 in this interval. Since these intervals are disjoint, counting the number of subsets of cardinality 2 and 3 we have

$$\left[\binom{n}{2} - \binom{u}{2} - \binom{t-u}{2} - \binom{n-t}{2} \right] (k-2) \leq \binom{n}{3} - \binom{u}{3} - \binom{t-u}{3} - \binom{n-t}{3},$$

which is enough. \square

Example 3.5. Let $I = (x_1, x_2, x_3, x_4) \cap (x_3, x_4, x_5, x_6) \cap (x_5, x_6, x_1, x_2)$ then $u = 2$, $t = 4$ and by the above lemma we have $\text{sdepth}(I) \leq 3$.

Proposition 3.6. Let $I = P_1 \cap P_2 \cap P_3$, where $P_1 = (x_1, \dots, x_t)$, $P_2 = (x_{s+1}, \dots, x_r)$ and $P_3 = (x_{q+1}, \dots, x_n, x_1, \dots, x_u)$ with $0 < u \leq s \leq t \leq q \leq r \leq n$. Let $d = s - u + q - t + n - r$, $n - d \geq 3$. Then

$$\text{sdepth}(I) \leq 2 + d + \frac{\binom{n-d}{3} - \binom{u}{3} - \binom{t-s}{3} - \binom{r-q}{3}}{\binom{n-d}{2} - \binom{u}{2} - \binom{t-s}{2} - \binom{r-q}{2}}.$$

Proof. Applying Corollary 3.3 by recurrence on d -indeterminates $\{x_{u+1}, \dots, x_s, x_{t+1}, \dots, x_q, x_{r+1}, \dots, x_n\}$ we get $\text{sdepth}_S(I) \leq \text{sdepth}_{S'}(I') + d$, where

$I' = (x_1, \dots, x_u, x_{s+1}, \dots, x_t) \cap (x_{s+1}, \dots, x_t, x_{q+1}, \dots, x_r) \cap (x_{q+1}, \dots, x_r, x_1, \dots, x_u)$ and $S' = K[x_1, \dots, x_u, x_{s+1}, \dots, x_t, x_{q+1}, \dots, x_r]$. Now it is enough to apply Lemma 3.4 to I' .

□

Next we consider Case(2):

Theorem 3.7. *Let $I = P_1 \cap P_2 \cap P_3$ where P_1, P_2 and P_3 are prime monomial ideals of S such that $G(P_i) \cap G(P_j) = \emptyset$ for all $i \neq j$, $P_1 + P_2 + P_3 = \mathfrak{m}$ and $\text{ht}(P_i) = d_i$. Then*

$$\text{sdepth}(I) \leq 3 + \frac{1}{d_1 d_2 d_3} \left[\binom{n}{4} - \sum_{i=1}^3 \binom{d_i}{4} - \sum_{i=1}^3 \binom{d_i}{3} (n - d_i) - \sum_{i < j} \binom{d_i}{2} \binom{d_j}{2} \right].$$

Proof. Note that I is a squarefree monomial ideal generated in monomials of degree 3. Let $k := \text{sdepth}(I)$. The poset P_I has a partition $\mathcal{P} : P_I = \bigcup_{i=1}^s [C_i, D_i]$, satisfying $\text{sdepth}(\mathcal{D}(\mathcal{P})) = k$ where $\mathcal{D}(\mathcal{P})$ is the Stanley decomposition of I with respect to the partition \mathcal{P} . For each interval $[C_i, D_i]$ in \mathcal{P} with $|C_i| = 3$ we have $|D_i| \geq k$ and note that there are $d_1 d_2 d_3$ such intervals. There are $|D_i| - |C_i|$ subsets of cardinality 4 in this interval. Since these intervals are disjoint, counting the number of subsets of cardinality 4 we have

$$(d_1 d_2 d_3)(k - 3) \leq \left[\binom{n}{4} - \sum_{i=1}^3 \binom{d_i}{4} - \sum_{i=1}^3 \binom{d_i}{3} (n - d_i) - \sum_{i < j} \binom{d_i}{2} \binom{d_j}{2} \right],$$

which is enough. □

Example 3.8. Let $I = (x_1^{a_1}, \dots, x_5^{a_5}) \cap (x_6^{a_6}, \dots, x_{10}^{a_{10}}) \cap (x_{11}^{a_{11}}, \dots, x_{15}^{a_{15}})$ for some positive integers a_i . Then by Corollary 1.8 we have $\text{sdepth}(I) \geq \lceil \frac{5}{2} \rceil + \lceil \frac{5}{2} \rceil + \lceil \frac{5}{2} \rceil = 9$. Now by applying [7, Corollary 2.2] and using Theorem 3.7 we have $\text{sdepth}(I) \leq 9$ which means that $\text{sdepth}(I) = 9$.

Now we consider Case(3):

Proposition 3.9. *Let $P_1 = (x_1, \dots, x_t)$, $P_2 = (x_{s+1}, \dots, x_r)$, $P_3 = (x_{q+1}, \dots, x_n)$ with $s \leq t$, $q \leq r$ and $I = P_1 \cap P_2 \cap P_3$. Let*

$$d := \min \left\{ \frac{2n + t - r - s + 2}{2}, \frac{n + r + s - q + 2}{2}, n - \lfloor \frac{t}{2} \rfloor, n - \lfloor \frac{r - s}{2} \rfloor, n - \lfloor \frac{n - q}{2} \rfloor \right\}.$$

Then $\text{sdepth}(I) \leq d$, if $t \geq q$ and

$$\text{sdepth}(I) \leq \min \left\{ d, \frac{n + q - t + 2}{2} \right\}, \quad \text{if } t < q.$$

Proof. Let $v = x_n$ we see that $v \notin I$ and we have $I' := I : v = (x_1, \dots, x_t) \cap (x_{s+1}, \dots, x_r)$. Then by Proposition [11, Proposition 1.3] we have $\text{sdepth}_S(I) \leq \text{sdepth}_S(I')$. We have $\text{sdepth}_S(I') = \text{sdepth}(I' \cap K[x_1, \dots, x_r]) + n - r$ by [6, Lemma 3.6]. But by [7, Proposition 2.13] and Theorem 1.1 we have

$$\text{sdepth}(I' \cap K[x_1, \dots, x_r]) \leq \min \left\{ \frac{r + t - s + 2}{2}, r - \lfloor \frac{t}{2} \rfloor, r - \lfloor \frac{r - s}{2} \rfloor \right\}.$$

It follows

$$\text{sdepth}_S(I) \leq \text{sdepth}_S(I') \leq \min \left\{ \frac{2n + t - r - s + 2}{2}, n - \lfloor \frac{t}{2} \rfloor, n - \lfloor \frac{r - s}{2} \rfloor \right\}.$$

Similarly if we take $v = x_1$ then we have

$$\text{sdepth}_S(I) \leq \min\left\{\frac{n+r+s-q+2}{2}, n - \lfloor \frac{r-s}{2} \rfloor, n - \lfloor \frac{n-q}{2} \rfloor\right\}.$$

and so $\text{sdepth}_S(I) \leq d$. If $t < q$ then take $v = x_{t+1}$. We have $I'' := I : v = (x_1, \dots, x_t) \cap (x_{q+1}, \dots, x_n)$ and by [7, Theorem 2.8] it follows

$$\text{sdepth}(I'' \cap K[x_1, \dots, x_t, x_{q+1}, \dots, x_n]) \leq \frac{n - (q - t) + 2}{2}$$

By [6, Lemma 3.6] we get

$$\text{sdepth}_S(I) \leq \frac{n - (q - t) + 2}{2} + q - t = \frac{n + q - t + 2}{2}.$$

□

Example 3.10. Let $I = (x_1, \dots, x_4) \cap (x_5, \dots, x_8) \cap (x_7, \dots, x_{10})$, that is $n = 10$, $t = s = 4$, $r = 8$, $q = 6$. Then $d = \min\{7, 9, 8, 8, 8\} = 7$ and $\text{sdepth}(I) \leq 7$ by the above proposition.

One can extend Theorem 3.7 for an intersection of arbitrary number of prime ideals. In this case the expression for the Stanley depth is more complicated. When there are four such prime ideals in the intersection we give an upper bound which is reasonable in some cases.

Let $I = P_1 \cap P_2 \cap P_3 \cap P_4$, where P_1, P_2, P_3, P_4 are prime ideals such that $G(P_i) \cap G(P_j) = \emptyset$ for all $i \neq j$ and $\text{ht}(P_i) = d_i$ where $d_1 + d_2 + d_3 + d_4 = n$. After renumbering the variables we assume that $d_1 \geq d_2 \geq d_3 \geq d_4 = d$ and $P_i = (x_{r_{i-1}+1}, \dots, x_{r_i})$ where $r_i = d_1 + \dots + d_i$.

Proposition 3.11.

$$\text{sdepth}(I) \leq 3 + d +$$

$$\frac{1}{d_1 d_2 d_3} \left[\binom{n-d}{4} - \sum_{i=1}^3 \binom{d_i}{4} - \sum_{i=1}^3 \binom{d_i}{3} (n - d_i) - \sum_{i < j} \binom{d_i}{2} \binom{d_j}{2} \right].$$

Proof. Define a map $\psi : S \rightarrow S' = K[x_1, \dots, x_{n-1}]$ by $\psi(x_i) = x_i$ for $i \leq n-1$ and $\psi(x_n) = 1$. Then we have $I' = \psi(I) = P_1 \cap P_2 \cap P_3$ and by [2, Lemma 2.2] it follows $\text{sdepth}_S(I) \leq \text{sdepth}_{S'}(I') + 1$. Using Theorem 3.7 we get

$$\begin{aligned} & \text{sdepth}(I' \cap K[x_1, \dots, x_{n-d}]) \leq \\ & 3 + \frac{1}{d_1 d_2 d_3} \left[\binom{n-d}{4} - \sum_{i=1}^3 \binom{d_i}{4} - \sum_{i=1}^3 \binom{d_i}{3} (n - d_i) - \sum_{i < j} \binom{d_i}{2} \binom{d_j}{2} \right]. \end{aligned}$$

Now by [6, Lemma 3.6]

$$\text{sdepth}_S(I) \leq \text{sdepth}(I' \cap K[x_1, \dots, x_{n-d}]) + (d - 1) + 1,$$

which is enough. □

Example 3.12. Let $I = (x_1^{a_1}, \dots, x_5^{a_5}) \cap (x_6^{a_6}, \dots, x_{10}^{a_{10}}) \cap (x_{11}^{a_{11}}, \dots, x_{15}^{a_{15}}) \cap (x_{16}^{a_{16}}, x_{17}^{a_{17}})$ for some positive integers a_i . Applying [7, Corollary 2.2] and using Proposition 3.11 we have $\text{sdepth}(I) \leq 11$. By Corollary 1.8 $\text{sdepth}(I) \geq 10$.

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